# scHiCExplorer

Release 7

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Dec 05, 2020

# CONTENTS

Set of programs to process, normalize, analyse and visualize single-cell Hi-C data	1
Citation	3
Availability	5
The following is the list of tools available in scHiCExplorer	7
Getting Help	9
Contents:6.1Installation6.2scHiCExplorer tools6.3News6.4Analysis of single-cell Hi-C data	<b>11</b> 11 12 16 17
	Set of programs to process, normalize, analyse and visualize single-cell Hi-C data         Citation         Availability         The following is the list of tools available in scHiCExplorer         Getting Help         Contents:         6.1       Installation         6.2       scHiCExplorer tools         6.3       News         6.4       Analysis of single-cell Hi-C data

ONE

# SET OF PROGRAMS TO PROCESS, NORMALIZE, ANALYSE AND VISUALIZE SINGLE-CELL HI-C DATA

TWO

# CITATION

Joachim Wolff, Leily Rabbani, Ralf Gilsbach, Gautier Richard, Thomas Manke, Rolf Backofen, Björn A Grüning. Galaxy HiCExplorer 3: a web server for reproducible Hi-C, capture Hi-C and single-cell Hi-C data analysis, quality control and visualization, Nucleic Acids Research, https://doi.org/10.1093/nar/gkaa220

# THREE

# AVAILABILITY

scHiCExplorer is available as a **command line suite of tools** on this GitHub repository. scHiCExplorer is a general use single-cell Hi-C analysis software, to process raw single-cell Hi-C data we provide a demultiplexing tool for data provided by Nagano 2017. For all other protocols the pre-processing (demultiplexing, trimming) must be computed by third party tools. However, as long as per cell one forward and reverse mapped BAM/SAM file is provided, scHiCExplorer is able to process it.

FOUR

# THE FOLLOWING IS THE LIST OF TOOLS AVAILABLE IN SCHICEXPLORER

tool	description
scHicDemultiplex	Demultiplexes the samples by their barcodes to one FASTQ file per samples
scHicMergeToSCool	Merges all single-cell Hi-C matrices to one
scHicCreateBulkMatrix	Compute the bulk matrix out of all single-cell Hi-C matrices
scHicMergeMatrixBins	Changes the resolution of the matrices
scHicQualityControl	Estimates the quality of scHi-C datasets
scHicAdjustMatrix	Keeps / removes chromosomes / contigs / scaffolds of all samples
scHicNormalize	Normalizes the read coverage of all samples to the lowest read coverage
scHicCorrectMatrices	Corrects all samples with Knight-Ruiz correction
scHicInfo	Retrieve information about the mcool matrix
scHicCluster	Cluster all samples on raw data or uses dimension reduction knn or pca
scHicClusterMinHash	Cluster all samples on knn computed by approximate knn search via LSH
scHicClusterSVL	Cluster all samples based on short vs long range contact ratio
scHicClusterCompartments	Cluster all samples based on A / B scHicClusterCompartments
scHicConsensusMatrices	Computes the consensus matrices based on clustering
scHicPlotClusterProfiles	Plots the cluster profiles with all samples
scHicPlotConsensusMatrices	Estimates the quality of Hi-C dataset

# FIVE

# **GETTING HELP**

• For all kind of questions, suggesting changes/enhancements and to report bugs, please create an issue on our GitHub repository

# SIX

# **CONTENTS:**

# 6.1 Installation

- Requirements
- Command line installation using conda
- Command line installation with source code

## 6.1.1 Requirements

- Python 3.6
- HiCExplorer 3.5
- cooler 0.8.9
- HiCMatrix 14
- sparse-neighbors-search 0.6

# 6.1.2 Command line installation using conda

The fastest way to obtain **Python 3.6 together with numpy and scipy** is via the Anaconda Scientific Python Distribution. Just download the version that's suitable for your operating system and follow the directions for its installation. All of the requirements for scHiCExplorer can be installed in Anaconda with:

\$ conda install schicexplorer python=3.6 -c bioconda -c conda-forge

We strongly recommended to use conda to install scHiCExplorer.

## 6.1.3 Command line installation with source code

#### 1. Download source code

\$ git clone https://github.com/joachimwolff/scHiCExplorer.git

#### 2. Install dependencies:

```
$ cd scHiCExplorer
$ conda install --file requirements.txt -c bioconda -c conda-forge
```

#### 3. Install the source code:

```
$ python setup.py install
```

# 6.2 scHiCExplorer tools

- General principles
- Tools for demultiplexing of raw fastq files
  - scHicDemultiplex
- Tools for single-cell Hi-C data pre-preprocessing
  - scHicAdjustMatrix
  - scHicCorrectMatrices
  - scHicMergeMatrixBins
  - scHicMergeToScool
  - scHicNormalize
  - scHicManageScool
  - scHicConvertFormat
- Tools for information about the single-cell Hi-C matrix
  - scHicInfo
- Tools for single-cell Hi-C QC
  - scHicQualityControl
- Tools for Hi-C data analysis
  - scHicCluster
  - scHicClusterCompartments
  - scHicClusterMinHash
  - scHicClusterSVL
  - scHicConsensusMatrices
- Tools for single-cell Hi-C visualization

- scHicPlotClusterProfiles

- scHicPlotConsensusMatrices

tool	type	input files	main output file(s)	application
scHicDemul-	pre-	1 FASTO file SRR to	2*n demultiplexed	Demultiplexes the samples by their
tiplex	pro-	sample manning file Rar-	FASTO files	barcodes to one FASTO file per sam-
upiex		code file	11101Q mes	nles
	ing			pies
actioMorgo	nra	n Hi C matrices in cool	One seeel file een	Margas all single call Hi C matrices
Ta Sanal	pre-	farment	tains all U.C. ma	Merges an single-cell HI-C matrices
1050001	pro-	Tormat	taing all HI-C ma-	to one
	cess-		trices	
	ıng			
scHicMan-	pre-	scool Hi-C matrix	one scool matrix or	update old version 4 of scHiCEx-
ageScool	pro-		cool matrix	plorer scool file to new scool file of
	cess-			version 5
	ing			
scHic-	pre-	scool Hi-C matrix	scHiCluster data	Converts scool to scHiCluster files
ConvertFor-	pro-			
mat	cess-			
	ing			
scHicMerge-	pre-	scool Hi-C matrix	scool Hi-C matrix	Changes the resolution of the matri-
MatrixBins	pro-			ces
	cess-			
	ing			
scHicQuali-	nre-	scool Hi-C matrix	One scool file two	Checks the quality of all samples and
tyControl	pro		ac images ac re	removes had ones
tycontion			qe iniages, qe ie-	Temoves bad ones
	in a		pon	
	ing			K
schicAdjust-	pre-	scool Hi-C matrix	scool HI-C matrix	Keeps / removes chromosomes / con-
Matrix	pro-			tigs / scatfolds of all samples
	cess-			
	ing			
scHicNor-	pre-	scool Hi-C matrix	scool Hi-C matrix	Normalizes the read coverage of all
malize	pro-			samples to the lowest read coverage
	cess-			
	ing			
scHicCor-	pre-	scool Hi-C matrix	scool Hi-C matrix	Corrects all samples with Knight-
rectMatrices	pro-			Ruiz correction
	cess-			
	ing			
scHicInfo	infor-	scool Hi-C matrix	information about	Retrieve information about the scool
	ma-		the scool matrix	matrix: resolution, number of sam-
	tion			ples, etc
scHicCreate-	analy-	scool Hi-C matrix	cool Hi-C matrix	Changes the resolution of the matri-
BulkMatrix	sis			ces
scHieCluster	analy	scool Hi-C matrix	text file with com	Cluster all samples on row data or
seriectuster			nle to cluster asso	uses dimension reduction kan or per
	515		pie to cluster asso-	uses unitension reduction kini or pea
	ar 1			Charten all any all and a
schicClus-	analy-	scool HI-C matrix	iext file with sam-	Cluster all samples on knn computed
terMinHash	S1S		ple to cluster asso-	by approximate knn search via LSH
			ciation	
scHicClus-	analy-	scool Hi-C matrix	text file with sam-	Cluster all samples based on short vs
terSVL	sis		ple to cluster asso-	long range contact ratio
			ciation	
scHicClus-	analy-	scool Hi-C matrix (gene	text file with sam-	Cluster all samples based on A / B
terCompart-	sis	or histone track)	ple to cluster asso-	scHicClusterCompartments
ments			ciation	
scHic-	analy-	scool Hi-C matrix, txt file	scool Hi-C matrix	Computes the consensus matrices
<sup>14</sup> Consensus-	sis	sample to cluster associa-	with consensus ma-	based on clustering
Matrices		tion	trices	
scHicPlot-	visu-	scool Hi-C matrix txt file	one image with	Plots the cluster profiles with all
ClusterPro-	aliza-	sample to cluster associa-	cluster profiles	samples

# 6.2.1 General principles

A typical scHiCExplorer command could look like this:

```
$ scHicPlotClusterProfiles -m matrix.scool \
-o cluster_profiles.png \
-c computed_clusters.txt \
--dpi 300
```

You can always see all available command-line options via -help:

```
$ scHicInfo -m matrix.scool
```

# 6.2.2 Tools for demultiplexing of raw fastq files

scHicDemultiplex

## 6.2.3 Tools for single-cell Hi-C data pre-preprocessing

```
scHicAdjustMatrix
```

scHicCorrectMatrices

scHicMergeMatrixBins

scHicMergeToScool

scHicNormalize

scHicManageScool

scHicConvertFormat

## 6.2.4 Tools for information about the single-cell Hi-C matrix

scHicInfo

## 6.2.5 Tools for single-cell Hi-C QC

scHicQualityControl

## 6.2.6 Tools for Hi-C data analysis

scHicCluster

scHicClusterCompartments

scHicClusterMinHash

scHicClusterSVL

scHicConsensusMatrices

# 6.2.7 Tools for single-cell Hi-C visualization

scHicPlotClusterProfiles

scHicPlotConsensusMatrices

# 6.3 News

\*\* 4 Dezember 2020\*\*

Release of version 7:

- scHicClusterMinHash:
  - Adding umap
  - adding option to color scatter plots with pre-classified information
- add scHicClusterMinHashHyperopt
- adding scHicCorrelate
- scHicPlotConsensusMatrix:
  - fixing a bug which lead to a small last interaction matrix plot
- scHicManageSCool:
  - option to create a scool file given a scool file and a list of to be extracted matrices
- scHicConvertFormat:
  - option to write out sparse matrix text files
- scHicTxtToSCool: able to import sparse text files as created by Ramani's bin\_schic
- \*\* 11 August 2020\*\*

Release of version 6:

- Add saveMemory option
- · Catching the possibility of a deadlock if too much data is loaded with too less cores
- Fixing the documentation

#### \*\* 5 August 2020\*\*

Release of version 5:

- Better clustering with MinHash: More accurate, faster loading times, less memory, additional PCA
- In general: Fast loading of matrices. This version is up to 19 times faster (10 kb matrices scHiCExplorer version 4: 58 minutes. Version 5: < 3 minutes)
- Support for scool format as defined with version 0.8.9 of cooler
- scHicManageScool: Tool to update old scool (version 4 or less of scHiCExplorer) to new version. Option to extract a matrix to a single cool
- scHicConvertFormat: Tool to convert a scool matrix to the file and folder structure scHiCluster needs
- Option to plot PC1 vs PC2 on cluster results for scHicCluster and scHicClusterMinHash

- scHicClusterMinHash: New additional cluster algorithms: birch, agglomerative (ward, average, single, complete)
- scHicCluster and scHicClusterMinHash: Option to work on intra-chromosomal data only
- Multiple bug fixes
- Improved plotting of scHicPlotConsensusMatrices and scHicPlotClusterProfile

#### \*\* 8 March 2020\*\*

Release of version 4:

- Fixing a bug in scHicDemultiplex
- Improving the documentation on how to download the FASTQ files for example.

#### 7th March 2020

Release of version 3:

- Change datatype name mcool to scool.
- Change tool scHicMergeToMCool to scHicMergeToSCool
- · Add tool scHicCreateBulkMatrix to create the bulk matrix out of the individual single-cell matrices

#### 24th February 2020

Release of version 2.

- Option to define how many nearest neighbors in scHicCluster or scHicClusterMinHash should be computed
- scHicClusterMinHash: Partially loading of data from Python to C++ to decrease memory footprint to a 1/4.

#### 30th November 2019

Release of version 1 of the single-cell HiCExplorer.

#### 06th November 2019

Creation of the single-cell HiCExplorer documentation.

# 6.4 Analysis of single-cell Hi-C data

- Download of the fastq files
- *Demultiplexing*
- Mapping
- Creation of Hi-C interaction matrices
- Quality control
- Removal of chromosomes / contigs / scaffolds
- Normalization
- Correction
- Analysis
  - Clustering on raw data

- Clustering on kNN graph or PCA with exact methods
- Clustering with dimensional reduction by local sensitive hashing
- Clustering with dimensional reduction by short range vs. long range contact ratios
- Clustering with dimensional reduction by A/B compartments
- Consensus matrices
- Bulk matrix

The analysis of single-cell Hi-C data deals is partially similar to regular Hi-C data analysis, the pre-processing of data i.e. mapping and the creation of a Hi-C interaction matrix and the correction of the data works can be adapted from a Hi-C data analysis. However, single-cell Hi-C deals with different issues as the be mentioned the pre-processing of the fastq files (demultiplexing) to associate the reads to one sample (to one cell). Everything that applies to Hi-C also applies to single-cell Hi-C expect in single-cell the work is done with a few thousand cells and not one. Additional, the read coverage in single-cell Hi-C is not in the hundreds of millions but on the used data form Nagano 2017 on average 1.5 million. This leads to other necessary treatments in the quality control of the samples and a need for a normalization to a equal read coverage for all cells.

In this tutorial we work with the 'diploid' data from Nagano 2017 (GSE94489).

#### Disclaimer

scHiCExplorer is a general tool to process and analysis single-cell Hi-C data. In this tutorial single-cell Hi-C data from Nagano 2017 (GSE94489) is used and scHiCExplorer provides a tool to demultiplex the FASTQ files. However, if all pre-processing (demultiplexing, trimming etc) is achieved by third-party tools and per cell the mapped forward and reverse strand files are present, scHiCExplorer can process them.

#### Disclaimer

The raw fastq data is around 1,04 TB of size and the download speed is limited to a few Mb/s by NCBI. To decrease the download time it is recommended to download the files in parallel if enough disk space is available. Furthermore, please consider the data needs to be demultiplexed and mapped which needs additional disk space.

If you do not want to download, demultiplex, map and build the matrices on your own, two precomputed raw scool matrices are provided on Zenodo in 1Mb and 10kb resolution. For this tutorial we use the 1Mb resolution of the matrix to reduce computation time. The 10kb takes significant longer and needs more memory to compute.

**WARNING** Please consider you need to convert the matrices first to the new scool file format as it is introduced with scHiCExplorer 5 and cooler 0.8.9. Use for this **scHicManageScool** and its update function.

## 6.4.1 Download of the fastq files

As the very first step the raw, non-demultiplexed fastq files need to be downloaded. Please download the files directly from NCBI GEO and not e.g. from EMBL ENA, we have seen that these files miss the barcode information.

To download the fastq files the SRR sample number must be known, for not all samples only one SRR number was given, these samples were therefore not included in this tutorial.

```
SRR5229019 GSM2476401
                            Diploid_11
SRR5229021 GSM2476402
                            Diploid 12
SRR5229023 GSM2476403
                            Diploid_13
SRR5229025 GSM2476404
                            Diploid_15_16_17_18
SRR5229027 GSM2476405
                            Diploid_1_6
                            Diploid_19
SRR5229029 GSM2476406
SRR5229031 GSM2476407
                            Diploid_20
SRR5229033 GSM2476408
                            Diploid_2_14
```

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SRR5229035	GSM2476409	Diploid_21
SRR5229037	GSM2476410	Diploid_22
SRR5229039	GSM2476411	Diploid_23
SRR5229041	GSM2476412	Diploid_24
SRR5229043	GSM2476413	Diploid_25
SRR5229045	GSM2476414	Diploid_26
SRR5229047	GSM2476415	Diploid_3
SRR5229049	GSM2476416	Diploid_4
SRR5229051	GSM2476417	Diploid_5_10
SRR5229053	GSM2476418	Diploid_7
SRR5229055	GSM2476419	Diploid_8
SRR5229057	GSM2476420	Diploid_9
SRR5507552	GSM2598387	Diploid_28_29
SRR5507553	GSM2598388	Diploid_30_31
SRR5507554	GSM2598389	Diploid_32_33
SRR5507555	GSM2598390	Diploid_34_35

#### Excluded: GSM2598386 / Diploid\_27

Download the each file via:

```
$ fastq-dump --accession SRR5229019 --split-files --defline-seq \'@$sn[_$rn]/$ri\' --

→defline-qual \'+\' --split-spot --stdout SRR5229019 > SRR5229019.fastq
```

Alternatively, download all with one command:

Please be aware that the additional parameters are only necessary if the files are downloaded via the bash. If you plan to download the files on hicexplorer.usegalaxy.eu and use there fastq-dump, the here shown additional parameters are handled in the background and only the accession number is required.

The downloaded fastq files must be in the following format:

```
@HWI-M02293:190:00000000-AHGUV:1:1101:12370:1000/1
@HWI-M02293:190:00000000-AHGUV:1:1101:12370:1000/2
NNNNNNN
+
#######
@HWI-M02293:190:00000000-AHGUV:1:1101:12370:1000/3
NNNNNNN
+
#######
@HWI-M02293:190:00000000-AHGUV:1:1101:12370:1000/4
```

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Please check this before the demultiplexing starts. If this format is not present, the demultiplexing will not work and creates only an empty output folder.

## 6.4.2 Demultiplexing

Each downloaded file needs to be demultiplexed. To do so the barcodes per sample and the SRR to sample mapping needs to be provided:

```
$ scHicDemultiplex -f "FASTQ_FILE" --srrToSampleFile samples.txt --barcodeFile_

GSE94489_README.txt --threads 20
```

scHicDemultiplex creates a folder 'demultiplexed' containing the demultiplexed fastq files split as forward and reverse reads and follows the scheme:

sample\_id\_barcode\_RX.fastq.gz

For example:

Diploid\_15\_AGGCAGAA\_CTCTCTAT\_R1.fastq.gz

Please consider that the time to demultiplex the file SRR5229025, which itself is 4.1 GB takes already ~35 mins, to demultiplex the full 1 TB dataset will take around 6 days to compute.

## 6.4.3 Mapping

After demultiplexing, each forward and reverse strand file needs to be mapped as usual in Hi-C as single-paired files. For this tutorial we use bwa mem and the mm10 index:

```
$ wget http://hgdownload-test.cse.ucsc.edu/goldenPath/mm10/bigZips/chromFa.tar.gz -0_

genome_mm10/chromFa.tar.gz

$ tar -xvzf genome_mm10/chromFa.tar.gz

$ cat genome_mm10/*.fa > genome_mm10/mm10.fa
```

\$ bwa index -p bwa/mm10\_index genome\_mm10/mm10.fa

```
$ bwa mem -A 1 -B 4 -E 50 -L 0 -t 8 bwa/mm10_index Diploid_15_AGGCAGAA_CTCTCTAT_R1.

→fastq.gz | samtools view -Shb - > Diploid_15_AGGCAGAA_CTCTCTAT_R1.bam

$ ls demultiplexed | xargs -n1 -P 5 -I {} sh -c "bwa mem -A 1 -B 4 -E 50 -L 0 -t 8_

→bwa/mm10_index demultiplexed/{} | samtools view -Shb - > {}.bam"
```

# 6.4.4 Creation of Hi-C interaction matrices

As a last step, the matrices for each cell need to be created, we use the tool 'hicBuildMatrix' from HiCExplorer:

```
$ hicBuildMatrix -s Diploid_15_AGGCAGAA_CTCTCTAT_R1.bam Diploid_15_AGGCAGAA_CTCTCTAT_

$\overline$R2.bam --binSize 1000000 --QCfolder Diploid_15_AGGCAGAA_CTCTCTAT_QC -o Diploid_15_

$\overline$AGGCAGAA_CTCTCTAT.cool --threads 4
```

To make this step more automated, it is recommend to use either a platform like hicexplorer.usegalaxy.eu or to use a batch script:

After the Hi-C interaction matrices for each cell is created, the matrices are pooled together to one scool matrix:

\$ scHicMergeToScool --matrices matrices/\* --outFileName nagano2017\_raw.scool

Call scHicInfo to get an information about the used scool file:

```
$ scHicInfo --matrix nagano2017_raw.scool
```

```
Filename: nagano2017_raw.scool
Contains 3882 single-cell matrices
The information stored via cooler.info of the first cell is:
bin-size 1000000
bin-type fixed
creation-date 2019-05-16T11:46:31.826214
format HDF5::Cooler
format-url https://github.com/mirnylab/cooler
format-version 3
generated-by cooler-0.8.3
genome-assembly unknown
metadata {}
nbins 2744
nchroms 35
nnz 55498
storage-mode symmetric-upper
sum 486056
```

# 6.4.5 Quality control

Quality control is the crucial step in preprocessing of all HTS related data. For single-cell experiments the read coverage per sample needs to be on a minimal level, and all matrices needs to be not broken and contain all the same chromosomes. Especially the last two issues are likely to rise in single-cell Hi-C data because the read coverage is with around 1 million reads, in contrast to regular Hi-C with a few hundred million, quite low and therefore it is more likely that simply no data for small chromosomes is present. To guarantee these requirements the quality control works in three steps:

- 1. Only matrices which contain all listed chromosomes are accepted
- 2. Only matrices which have a minimum read coverage are accepted
- 3. The matrix must have a minium density of recorded data points close to the main diagonal.

```
$ scHicQualityControl --matrix nagano2017_raw.scool --outputscool nagano2017_qc.scool_

--minimumReadCoverage 100000 --minimumDensity 0.02 --maximumRegionToConsider_

--30000000 --outFileNameReadCoverage read_coverage.png --outFileNameDensity density.

--png --threads 20 --chromosomes chr1 chr2 chr3 chr4 chr5 chr6 chr7 chr8 chr9 chr10_

--chr11 chr12 chr13 chr14 chr15 chr16 chr17 chr18 chr19 chrX
```

For this tutorial a minimum read coverage of 1 million and a density of 0.1% is used in range of 30MB around the main diagonal. The above command creates certain files:

- 1. A scool matrix containing only samples with matrices that passed the quality settings.
- 2. A plot showing the density of all samples. Use this plot to adjust the minimumDensity parameter.
- 3. A plot showing the read coverage of all samples, use this plot to adjust the minimum read coverage parameter.
- 4. A text report presenting quality control information.





```
# QC report for single-cell Hi-C data generated by scHiCExplorer 1
scHi-C sample contained 3882 cells:
Number of removed matrices containing bad chromosomes 0
Number of removed matrices due to low read coverage (< 100000): 1374
Number of removed matrices due to too many zero bins (< 0.02 density, within 3000000,
→relative genomic distance): 610
2508 samples passed the quality control. Please consider matrices with a low read,
→coverage may be the matrices with a low density and overlap therefore.</pre>
```

#### These QC settings removes 2508 matrices:

```
$ scHicInfo --matrix nagano2017_qc.scool
```

```
Filename: nagano2017_raw.scool
Contains 3491 single-cell matrices
The information stored via cooler.info of the first cell is:
bin-size 1000000
bin-type fixed
creation-date 2019-05-16T11:46:31.826214
format HDF5::Cooler
format-url https://github.com/mirnylab/cooler
format-version 3
generated-by cooler-0.8.3
genome-assembly unknown
```

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```
metadata {}
nbins 2744
nchroms 35
nnz 55498
storage-mode symmetric-upper
sum 486056
```

## 6.4.6 Removal of chromosomes / contigs / scaffolds

A call of scHicInfo shows that in the first matrix 35 chromosomes are stored. Based on the problematic nature of the low read coverage it is quite likely that over the 3882 cells not all will have data present for all these chromosomes / contigs or scaffolds. It is now necessary to remove the contigs and scaffolds to achieve a good clustering results. The reason is, in clustering we operate directly on the matrices without the consideration of pixel to chromosome region relation. The assumption is that in cell 1 the i-th pixel is related to the same regions as in cell 1543. If some samples contain contigs and scaffolds, this cannot be guaranteed.

```
$ scHicAdjustMatrix -m nagano2017_qc.scool -o nagano2017_qc_adjusted.scool -t 20 --

→action keep --chromosomes chr1 chr2 chr3 chr4 chr5 chr6 chr7 chr8 chr9 chr10 chr11_

→chr12 chr13 chr14 chr15 chr16 chr17 chr18 chr19
```

## 6.4.7 Normalization

Working with a few thousand samples makes it even more crucial to normalize the data to a similar read coverage level. scHiCExplorer normalizes to the lowest read coverage of all samples.

```
$ scHicNormalize -m nagano2017_qc_adjusted.scool -o nagano2017_normalized.scool --

→threads 20
```

## 6.4.8 Correction

In Hi-C protocol the assumption is that each genomic local has the same sum of interactions. Usually this is not achieved and it is causing biases by over or under representing regions. To correct this we use the KR correction of matrices from Knight-Ruiz 2012.

## 6.4.9 Analysis

The analysis of single-cell Hi-C data investigates the chromatin folding changes during the cell cycle. To compute this, the clustering of the cells and a correct ordering within a cluster is the key step for this analysis.

scHiCExplorer uses a flatting approach to create out of the two dimensional 2D interaction matrices a one dimensional vector to have in the end a number of samples times number of bins^2 matrix. For example: Nagano 2017 has around 3000 cells and using a 1MB binning approach results for the mouse genome in 2600 times 2600 matrix. After flattening, the matrix which is used to operate on is 3000 \* (2600 \* 2600) = 3000 \* 6760000.

Two approaches to apply clustering are possible:

1. Compute the clustering directly on the matrix.

2. Reduce the dimensions first and apply clustering.

Option one works if the resolution of the interaction matrices are not too high, i.e. 1MB leads to 6.7 million features which is already a lot, but todays computers can handle this. However, it looks different if the resolution is increased to e.g. regular Hi-C matrix resolution of 10kb. In this case the binned matrix is not 2600 \* 2600, but 260000 \* 260000 which is 67.6 billion. To work on such many features would be problematic in terms of computational time and, it is questionable if a computer with enough main memory is available. To overcome this, a dimension reduction is necessary. To reduce the number of dimensions scHiCExplorer provides three approaches: MinHash, SVL and Compartments.

The first approach uses a local sensitive hashing approach to compute the nearest neighbors, with it, it reduces the number of dimensions to the number of samples where each entry represents how close the samples are. Approach two, SVL for short vs long distances, computes per chromosome the ratio of the sum of short range contacts vs. the sum of long range contacts, the number of dimensions is therefore reduced to the number of to be considered chromosomes. Approach number three, compartments, computes the A/B compartments per chromosome and reduces the number of dimensions to the square root.

In Nagano 2017 a k-means approach is used to cluster the cells, however, the computed clusters with spectral clustering are of better quality.

#### Clustering on raw data

The first approach clusters the data on the raw data using first, kmeans and second, spectral clustering. Warning: the runtime of kmeans is multiple hours (on a XEON E5-2630 v4 @ 2.20GHz with 10 cores / 20 threads, around 8 h).

```
$ scHicCluster -m nagano2017_corrected.scool --numberOfClusters 7 --clusterMethod_

→ spectral -o clusters_raw_spectral.txt --threads 20
```

The output of all cluster algorithms is a text file containing the internal sample name of the scool file and the associated cluster:

..code-block:: bash

/Diploid\_3\_CGTACTAG\_GTAAGGAG\_R1fastqgz 0 /Diploid\_3\_CGTACTAG\_TATCCTCT\_R1fastqgz 0/Diploid\_3\_CTCTCTAC\_AAGGAGTA\_R1fastqgz 0/Diploid\_3\_CTCTCTAC\_ACTGCATA\_R1fastqgz 0/Diploid\_3\_CTCTCTAC\_CGTCTAAT\_R1fastqgz 0/Diploid\_3\_CTCTCTAC\_CTAAGCCT\_R1fastqgz 0/Diploid\_3\_CTCTCTAC\_CTCTCAT\_R1fastqgz 0/Diploid\_3\_CTCTCTAC\_GTAAGGAG\_R1fastqgz 0/Diploid\_3\_CTCTCTAC\_TATCCTCT\_R1fastqgz 0/Diploid\_3\_GCGTAGTA\_AAGGCTAT\_R1fastqgz 5/Diploid\_3\_GCGTAGTA\_CCTAGAGT\_R1fastqgz 0/Diploid\_3\_GCGTAGTA\_CTATTAAG\_R1fastqgz 0/Diploid\_3\_GCGTAGTA\_GAGCCTTA\_R1fastqgz 0/Diploid\_3\_GCGTAGTA\_GCGTAAGA\_R1fastqgz 0/Diploid\_3\_GCGTAGTA\_TCGACTAG\_R1fastqgz 0/Diploid\_3\_GCGTAGTA\_TTATGCGA\_R1fastqgz 4/Diploid\_3\_GCTCATGA\_AAGGAGTA\_R1fastqgz 0/Diploid\_3\_GCTCATGA\_CGTCTAAT\_R1fastqgz 0/Diploid\_3\_GCTCATGA\_AAGGAGTA\_R1fastqgz 0/Diploid\_3\_GCTCATGA\_CTCTCTAT\_R1fastqgz 0/Diploid\_3\_GCTCATGA\_GTAAGCCT\_R1fastqgz 0/Diploid\_3\_GCTCATGA\_CTCTCTAT\_R1fastqgz 0/Diploid\_3\_GCTCATGA\_GTAAGGAGTA\_R1fastqgz 0/Diploid\_3\_GCTCATGA\_CTCTCTAT\_R1fastqgz 0/Diploid\_3\_GCTCATGA\_GTAAGGAG\_R1fastqgz 0

To visualize the results run:

\$ scHicPlotClusterProfiles -m nagano2017\_corrected.scool --clusters clusters\_raw\_ \$\$ wheens.txt -o clusters\_raw\_kmeans.png --dpi 300 --threads 20

The cluster internal ordering can be visualized in two ways: Either by the order the samples appear in the cluster output file or by sorting with the ratio of short vs. long range contacts. Default mode is the last one.



The profile of the clusters clearly shows that the algorithms fail to create a useful clustering of the samples and are not able to assoziate the cells to a cell cycle stage.

## Clustering on kNN graph or PCA with exact methods

To decrese the compute time, especially for kmeans, and to improve the clustering result the dimensions are reduced with two approaches: By computing a k-nearest neighbors graph and reduce the dimensions with it down to the number of samples or to compute the k-principal components of the matrix.

```
$ scHicCluster -m nagano2017_corrected.scool --numberOfClusters 7 --clusterMethod_

    spectral -o clusters_knn_spectral.txt --threads 20 -drm knn
$ scHicPlotClusterProfiles -m nagano2017_corrected.scool --orderBy orderByFile --

    -clusters clusters_knn_spectral.txt -o clusters_knn_spectral.png --dpi 300 --

    -threads 20
$$ SOMB

    10MB

    20MB

    20MB

    50MB

    20MB

    20MB
```





Comparing the two profiles of the clustering process, the dimension reduction with k-NN and kmeans works way better in assoziating samples to cell cycles. It can be clearly seen that cells with similar profiles are cluster together, however, not always this is the case. Considering cluster 6 shows on the right side 30 to 50 cells which profiles do not match the rest of the cluster. The spectral clustering shows, especially in comparison to spectral clustering without any dimension reduction no real improvement. Still the majorities of the cells are clustered to one cell cycle and the differentiation between the cell stages is not visible.

The PCA shows a different issue: Using a computer with 120 GB of memory is not enough to compute the PCA and is therefore not a method that is part of this analysis.

#### Clustering with dimensional reduction by local sensitive hashing

Reducing the 2.6 million dimensions is a crucial step to improve the runtime and memory consumptions to acceptable level, especially if kmeans to cluster the single-cell Hi-C data is used. Under consideration of the clustering results on the raw data it is obvious that the dimensions are too high to get a meaningful clustering. scHiCExplorer uses the local sensituve hashing technique 'minimal hash' to reduce the number of dimensions to the number of samples, i.e. from 2.6 million to 3491. MinHash computes per samples for all non-zero feature id one hash value with one hash function and takes from all hash values the numerical minimum as the hash value for this hash function. With this approach a few hundred hash functions compute their minimum hash value. In a next step the similarity between two samples is computed by counting the number of hash collisions, the more collisions two samples have, the more likely it is they share many non-zero feature ids.

```
$ scHicClusterMinHash -m nagano2017_corrected.scool --numberOfHashFunctions 1200 --

→numberOfClusters 7 --clusterMethod kmeans -o clusters_minhash_kmeans.txt --threads_

→20
```

To visualize the results run:

```
$ scHicPlotClusterProfiles -m nagano2017_corrected.scool --clusters clusters_minhash_

--spectral.txt -o clusters_minhash_spectral.png --dpi 300 --threads 20
```

The clustered samples based on the dimension reduction with MinHash are way more meaningful in comparison to the raw clustered data:



The top image is clustered with kmeans, the bottom one with spectral clustering. Partially the results are quite equal e.g. in cluster 1 (kmeans) and 3 (spectral), however, the kmeans clustering seems to detect the fine differences in the chromatine structure better.

In comparison to the clustering based on raw data or the dimension reducted by exact kNN computation, the results with the approximate kNN based on MinHash seems to create better results.

#### Clustering with dimensional reduction by short range vs. long range contact ratios

An important measurement to investigate the denisty of the folding structure of the chromatin is the ratio of the sum of short range and long range contacts. Nagano 2017 shows the ratio between genomical distance of less than 2MB and between 2MB to 12MB is the key region of contacts to be considered.

```
$ scHicClusterSVL -m nagano2017_corrected.scool --distanceShortRange 2000000 --

distanceLongRange 12000000 --numberOfClusters 7 --clusterMethod kmeans -o clusters_

distanceLongRange 200000 --numberOfClusters 7 --clusterMethod kmeans -o clusters_
```

#### To visualize the results run:

The results of the clustering with the SVL dimension reduction technique:



#### Clustering with dimensional reduction by A/B compartments

Clustering and dimension reduction based on A/B compartments will compute for each sample and each chromosome the A/B compartments, reducing the dimensions to the square root of the number of features i.e. in our example from 6.7 million to 2600.

```
$ scHicClusterCompartments -m nagano2017_corrected.scool --binarization --

$\internumberOfClusters 7 --clusterMethod kmeans -o clusters_compartments_kmeans.txt --

$\internation + hreads 20
```

To visualize the results run:

```
$ scHicPlotClusterProfiles -m nagano2017_corrected.scool --clusters clusters_

$ compartments_kmeans.txt -o clusters_compartments_kmeans.png --dpi 300 --threads 20
```

```
$ scHicPlotClusterProfiles -m nagano2017_corrected.scool --clusters clusters_

→compartments_spectral.txt -o clusters_compartments_spectral.png --dpi 300 --threads_

→20
```





# The results of A/B compartment dimension reduction are mixed. The spectral clustering creates similar results as the non-dime but the profiles indicate the clustering itself is not good.

## **Consensus matrices**

The folding pattern of chromatin can be visualized by merging all Hi-C interaction matrices of one cluster together to one consensus matrix. First, the consensus matrices needs to be computed and in a second step be plotted.



→matrix\_minhash\_kmeans.png --threads 20 --chromosomes chr6

In the following plots for different dimension reduction techniques are shown:

## Consensus matrices of compartments\_kmeans.mcool on chromosome: chr6



Consensus matrices of compartments\_spectral.mcool on chromosome: chr6





Consensus matrices of knn\_kmeans.mcool on chromosome: chr6

Consensus matrices of knn\_spectral.mcool on chromosome: chr6





Consensus matrices of minhash\_kmeans.mcool on chromosome: chr6

Consensus matrices of minhash\_spectral.mcool on chromosome: chr6





Consensus matrices of svl\_kmeans.mcool on chromosome: chr6

Consensus matrices of svl\_spectral.mcool on chromosome: chr6





Consensus matrices of raw\_spectral.mcool on chromosome: chr6

# 6.4.10 Bulk matrix

All single-cell matrices can be added together to one bulk matrix with the following command:

\$ scHicCreateBulkMatrix -m nagano2017\_corrected.scool -o nagano2017\_bulk.cool -t 4

The resulting cool matrix can be plotted with HiCExplorer hicPlotMatrix:

```
$ hicPlotMatrix -m nagano_1MB_bulk.cool --log1p -o nagano_bulk.png --dpi 300 --

→ chromosomeOrder chr1 chr2 chr3 chr4 chr5 chr6 chr7 chr8 chr9 chr10 chr11 chr12_

→ chr13 chr14 chr15 chr16 chr17 chr18 chr19 chrX --fontsize 5 --rotationX 45
```



Nagano 2017 1 Mb resolution bulk matrix.

This tool suite is developed by Joachim Wolff from the Bioinformatics Lab of the Albert-Ludwigs-University Freiburg, Germany.